

## EECS 336: Lecture 16: Introduction to $\mathcal{NP}$ hardness Algorithms

### P vs. NP (cont.): Review

**Reading:** Chapter 8; guide to reductions

### Last Time:

- approximation
- metric TSP 2-approx
- knapsack 2-approx

### Today:

- $\mathcal{NP}$  review
- requests?

“proof by contradiction: solve hard problem  $Y$  with blackbox for  $X$ , so  $X$  must be hard”

### One-call Reductions

1. forward instance construction:  $y \implies x^y$
2. backward certificate construction:  $x^y$  is yes  $\implies y$  is yes.
3. forward certificate construction:  $y$  is yes  $\implies x^y$  is yes.

**Conclusion:**  $y$  is yes if and only if  $x^y$  is yes.

DRAW PICTURE

Compare:

- show
  - $x^y$  is yes  $\implies y$  is yes.
  - $x^y$  is no  $\implies y$  is no.
- show
  - $x^y$  is yes  $\implies y$  is yes.
  - $y$  is yes  $\implies x^y$  is yes.

**Common Mistake:**  $x^y$  is yes  $\not\implies y$  is yes.

**Example:** 3-SAT  $\implies$  INDEP-SET

Part I: (erroneous)

Convert 3-SAT instance  $f$  to INDEP-SET instance  $x^f = (V^f, E^f, \theta^f)$ :

- Vertices  $V^f = \{v_{jd} : j \in \{1, \dots, m\}, d \in \{1, \dots, 3\}\}$ .
- Edges  $E^f = \{(v_{jd}, v_{j'd'}) : l_{jd} = "z_i" \wedge l_{j'd'} = "\bar{z}_i"\}$
- Target independent set size  $\theta^f = m$  (the number of clauses).

Part II: counter example

**Example:** INDEP-SET

**Issue:** can choose multiple vertices corresponding to same clause.

**Goal:** simple and small counter example.

- $\mathbf{z} = (z_1, z_2, z_3)$
- $f(\mathbf{z}) = (z_1 \vee z_2 \vee z_3) \wedge (z_1 \vee z_2 \vee \bar{z}_3) \wedge (z_1 \vee \bar{z}_2 \vee z_3) \wedge (z_1 \vee \bar{z}_2 \vee \bar{z}_3) \wedge (\bar{z}_1 \vee z_2 \vee z_3) \wedge (\bar{z}_1 \vee \bar{z}_2 \vee z_3) \wedge (\bar{z}_1 \vee z_2 \vee \bar{z}_3) \wedge (\bar{z}_1 \vee \bar{z}_2 \vee \bar{z}_3)$

**Note:** need to show  $x^f$  is “yes” but  $f$  is “no”

**Deciding is as hard as optimizing**

**Proof:** (reduction via binary search)

- given
  - instance  $x$  of  $X$
  - black-box  $\mathcal{A}$  to solve  $X_d$
- $\text{search}(A, B) = \text{find optimal value in } [A, B]$ .
  - $D = (A + B)/2$
  - run  $\mathcal{A}(x, D)$
  - if “yes,”  $\text{search}(A, D)$
  - if “no,”  $\text{search}(D, B)$

**Finding solution is as hard as deciding**

**Example:** 3-SAT

1. if  $f$  is satisfiable  $\exists \mathbf{z}$  s.t.  $f(\mathbf{z}) = T$
2. guess  $z_n = T$
3. let  $f'(z_1, \dots, z_{n-1}) = f(z_1, \dots, z_{n-1}, T)$
4. simply  $f'$  and convert from LE3-SAT to 3-SAT  $\implies g$
5. if  $g$  is satisfiable, repeat (2) on  $f'$
6. if  $f'$  is unsatisfiable, repeat (2) on  $f''(z_1, \dots, z_{n-1}) = f(z_1, \dots, z_{n-1}, F)$  simplified.