

## EECS 336: Lecture 4: Introduction to Algorithms

### Dynamic Programming (cont)

Reading: 6.4-6.8

#### Last Time:

- Dynamic Programming (a derivation)
- Weighted interval scheduling

#### Today:

- Dynamic Programming (a framework)
- Integer Knapsack

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### Example: Weighted Interval Scheduling

#### input:

- $n$  jobs  $J = \{1, \dots, n\}$
- $s_i$  = start time of job  $i$
- $f_i$  = finish time of job  $i$
- $v_i$  = value of job  $i$

**compatibility constraint:** Only one job can run at once.

**output:** Schedule  $S \subseteq J$  if compatible jobs with maximum total value.

#### Iterative DP

**Algorithm:** iterative weighted interval scheduling

1. initialize array  $\text{next}[i]$   
sort jobs by increasing start time  
 $\text{OPT}[n + 1] = 0$
2. for  $i = n$  down to 1:  
 $\text{OPT}[i] = \max(v_i + \text{OPT}[\text{next}[i]], \text{OPT}[i + 1])$ .
3. return  $\text{OPT}[1]$

### Seven Part Approach

I. identify subproblem in English

$\text{OPT}(i)$  = “optimal schedule of  $\{i, \dots, n\}$  (sorted by starting time)”

II. specify subproblem recurrence (argue correctness)

$\text{OPT}(i) = \max(\text{OPT}(i + 1), v_i + \text{OPT}(\text{next}[i]))$

III. solve the original problem from subproblems

Optimal Interval Schedule =  $\text{OPT}(1)$

IV. identify base case

$\text{OPT}(n + 1) = 0$

V. write iterative DP.

VI. runtime analysis.

$O(n)$  + initialization =  $O(n \log n)$

VII. implement in your favorite language (Python!)

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## Dynamic Programming: Finding Subproblems

"find a first decision you can make which breaks problem into pieces that

- do not interact (across subproblems)
- can be described succinctly."

### Example: Integer Knapsack

#### input:

- $n$  objects  $S = \{1, \dots, n\}$
- $s_i =$  size of object  $i$  (integer)
- $v_i =$  value of object  $i$
- $C =$  capacity of knapsack (integer)

#### output:

- subset  $K \subseteq S$  of objects that
  - (a) fit in knapsack together  
(i.e.,  $\sum_{i \in K} s_i \leq C$ )
  - (b) maximize total value  
(i.e.,  $\sum_{i \in K} v_i$ )

**Question:** What is "first decision we can make" to separate into subproblems?

**Answer:** Is item 1 in the knapsack or not?

- if 1 in knapsack:  
value of knapsack is  $v_1 +$  optimal knapsack value on  $S \setminus \{1\}$  with capacity  $C - s_1$ .
- if 1 not in knapsack:  
value of knapsack is optimal knapsack on  $S \setminus \{1\}$  with capacity  $C$ .

Succinct description:

- remaining objects  $\{j, \dots, n\}$  represented by " $j$ "
- remaining capacity represented by  $D \in \{0, \dots, C\}$ .

### Step I: identify subproblem in English

$\text{OPT}(j, D) =$  "value of optimal size  $D$  knapsack on  $\{j, \dots, n\}$ "

### Step II: write recurrence

$\text{OPT}(j, D) = \max(\underbrace{v_j + \text{OPT}(j + 1, D - s_j)}_{\text{if } s_j \leq D}, \text{OPT}(j + 1, D))$

**Justification:** either  $i$  is in or not (exhaustive.)

### Step III: solve original problem

Value of Optimal Knapsack =  $\text{OPT}(1, C)$

### Step IV: base case

$\text{OPT}(n + 1, D) = 0$  (for all  $D$ )

### Step V: Iterative DP

**Algorithm:** knapsack

1.  $\forall D, \text{OPT}[n + 1, D] = 0$ .

2. for  $i = n$  down to 1,

for  $D = C$  down to 0,

- if  $i$  fits (i.e.,  $s_i \leq D$ )

$$\text{OPT}[i, D] = \max[\text{OPT}[j + 1, D], v_j + \text{OPT}(j + 1, D - s_j)]$$

- else

$$\text{OPT}[j, D] = \text{OPT}[j + 1, D]$$

3. return  $\text{OPT}[1, C]$

### Step VI: Runtime

$T(m, C) = O(\# \text{ of subprobs} \times \text{cost per subprob}) = O(nC)$ .

**Note:** not polynomial time.

### Step VII: implementation

(see "guide")

## Alternative Approach

“isolate previously made decisions”

Suppose:

- already processed jobs  $\{1, \dots, i\}$ , and
- used capacity  $D$ .

**Note:** previous decisions succinctly summarized by  $i$  and  $D$

### Part I: subproblem in english

$\text{OPT}(i, D)$  = "value from remaining knapsack if

- already processed jobs  $\{1, \dots, i\}$
- used capacity  $D$ ."

### Part II: recurrence

$$\text{OPT}(i, D) = \max(\text{OPT}(i + 1, D), \underbrace{v_i + \text{OPT}(i + 1, D + s_i)}_{\text{if } D + s_i \leq C})$$

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