

**Academic Senate
December 2, 2019
Office of Athletics Compliance Report**

General Office Information

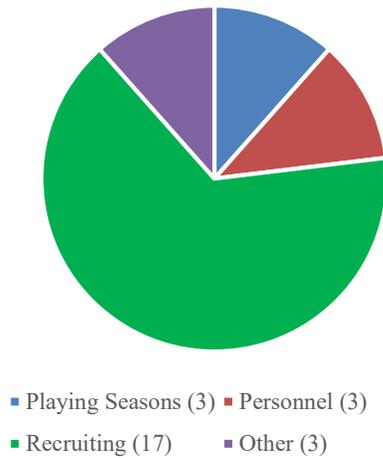
- The Associate Athletics Director for Compliance oversees the Office of Athletics Compliance (OAC) and has a dual reporting structure to the deputy athletics director and the Office of General Counsel.
- The OAC has four full-time staff members and one staff member who works in the OAC three days a week, but reports to the Registrar’s Office. The athletics director has approved an additional position for the office, which is expected to be filled by the end of the calendar year.
- The OAC is responsible for maintaining compliance with NCAA and Pac-12 rules by investigating and reporting rules violations, requesting relief from rules in unique situations (waivers), and providing rules education to various groups.

NCAA Violations

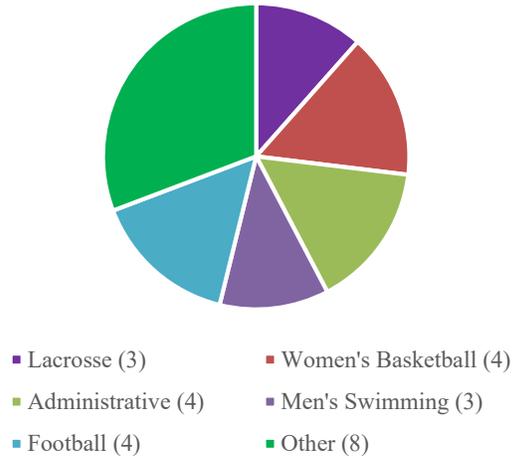
- The NCAA has established a three-tier penalty structure for violations of NCAA rules.
 - Level III: Inadvertent, isolated, and/or limited violations, which often carry minimal penalties and require rules education.
 - Level II: Systematic violations, which carry significant penalties that can include postseason competition bans, financial penalties, scholarship reductions, recruiting restrictions, and head coach suspensions.
 - Level I: Egregious violations, which carry Level II violation penalties in greater measure.
- Most University violations in the last four years have been processed Level III violations. However, there was a Level II violation submitted in 2017-2018 and another in August 2019.

YEAR	VIOLATIONS
15-16	17
16-17	30
17-18	31
18-19	26

2018-19 Violations by Bylaw



2018-19 Violations by Sport

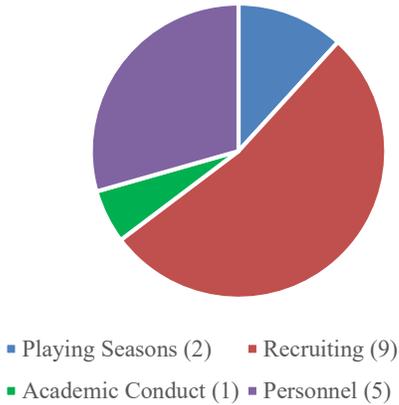


Institutional Investigations

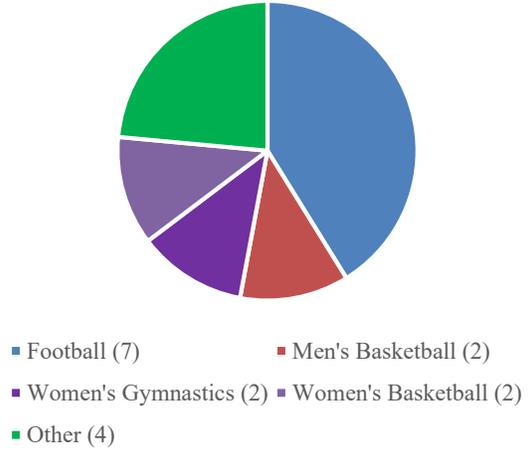
- 17 investigations were conducted during the 2018-2019 year.
- The most from any one program involved the sport of football (7 investigations).
- Investigations involved alleged violations in the areas of personnel, recruiting, camps, and extra benefits.
- Of the matters investigated, one Level II infraction was discovered.

YEAR	INVESTIGATIONS
15-16	25
16-17	20
17-18	15
18-19	17

2018-19 Investigations by Topic



2018-19 Investigations by Sport

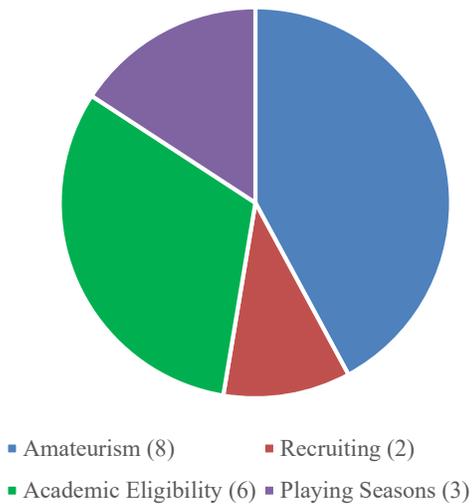


Waivers

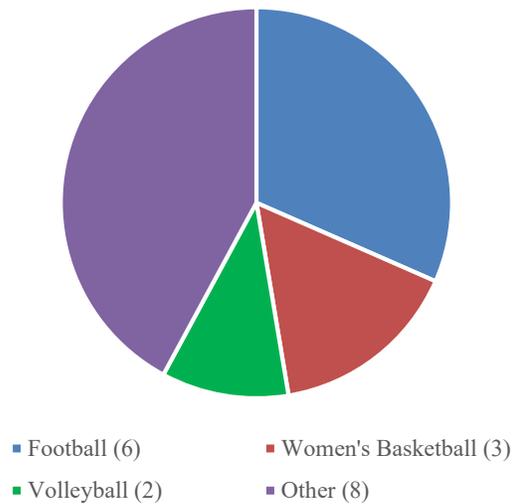
-Waivers are requests by the institution asking for relief from the application of rules in unique situations.
 -Approximately a 90 percent success rate.

YEAR	WAIVERS
15-16	37
16-17	31
17-18	31
18-19	19

2018-19 Waivers by Bylaw



2018-19 Waivers by Sport



Educational Program

- The OAC provides NCAA and Pac-12 rules education to Athletics Department staff, coaches, student-athletes, university entities, and representatives of the institution's athletics interests.
- Athletics Department staff members are required to attend in-person rules education sessions throughout the academic year: Staff must attend at least four sessions and coaches must attend at least eight sessions. Student-athletes receive monthly education.
- The schedule and topics of rules education sessions are based on new rules, previous year's violations, items of concern, and nationally significant issues.
- During 2018-2019, the OAC conducted 163 formal education sessions, an increase of 20 sessions from 2017-2018.

Name, Image, and Likeness

- Current NCAA rules restrict student-athletes from using their name, image, or likeness to promote a business, product, or service; the NCAA has moved to modernize these rules.
- Through April 2020, the NCAA-appointed working group will resolve its recommendations for the guiding principles and the regulatory framework.
- Each NCAA division will create new rules with an effective date no earlier than January 2021.
- Rules must promote principles and guidelines, including differentiation between collegiate and professional athletics, students are not to be treated as employees of the university but should be treated similar to non-athlete students, and maintain the priority of education.

Varsity Blues

- Undergraduate admissions deemed criminally fraudulent at several universities.
- Utah policies and procedures were reviewed and mechanisms were in place to minimize the opportunity to influence irregular admissions outcomes.
- Athletics is working with the senior associate vice president for enrollment management and the faculty athletics representative to evaluate national best practices.