

Recall: A vector space over field  $k$  is a set  $V$  with two operations:

- (1) addition  $+$ :  $V \times V \rightarrow V$  abelian group,  $0 \in V$
- (2) scalar multiplication  $\cdot$ :  $k \times V \rightarrow V$  associative, distributive,  $1v = v$ ,  $0v = 0$ .

Def: Given  $v_1, \dots, v_n \in V$ ,

- $\text{span}(v_1, \dots, v_n) = \{a_1v_1 + \dots + a_nv_n \mid a_i \in k\}$  smallest subspace of  $V$  containing  $v_1, \dots, v_n$
- $v_1, \dots, v_n$  are linearly independent if  $a_1v_1 + \dots + a_nv_n = 0 \Rightarrow a_1 = a_2 = \dots = a_n = 0$
- $(v_1, \dots, v_n)$  are a basis if they are linearly independent and span  $V$ .  
( $\Rightarrow$  any element of  $V$  can be expressed uniquely as  $\sum a_i v_i$  for some  $a_i \in k$ .)

- Say  $V$  is finite-dimensional if  $\exists$  finite set that spans  $V$ .
- We've seen:  $\rightarrow$  if  $\{v_1, \dots, v_n\}$  spans  $V$ , can select a subset of  $\{v_i\}$  that forms a basis.  
 $\rightarrow$  if  $\{v_1, \dots, v_n\}$  are linearly indep't, can add elements to form a basis.  
 $\rightarrow$  any two bases of  $V$  have same # elements, called the dimension of  $V$ .

\* Given a basis  $(v_1, \dots, v_n)$  of  $V$ , we get a linear map  $\varphi: k^n \rightarrow V$   
 $(a_1, \dots, a_n) \mapsto \sum a_i v_i$

Linear independence  $\leftrightarrow \varphi$  injective

spanning  $V \leftrightarrow \varphi$  surjective, so  $\varphi$  is an isomorphism!

Every finite-dim. vector space  $/k$  is isomorphic to  $k^n$  for  $n = \dim V$ .

(+ basis gives a specific choice of such an isomorphism).

Def: Let  $V, W$  be vector spaces  $/k$ . A homomorphism of vector spaces, or linear map,  $\varphi: V \rightarrow W$ , is any map that is compatible with the operations:  
 $\varphi(u+v) = \varphi(u) + \varphi(v)$ ,  $\varphi(\lambda v) = \lambda \varphi(v) \quad \forall \lambda \in k, \forall u, v \in V$ .

$\text{Hom}(V, W) = \{\text{linear maps } V \rightarrow W\}$  is a vector space.

\* Given basis  $(v_1, \dots, v_n)$  of  $V$  and  $(w_1, \dots, w_m)$  of  $W$ , we can represent a linear map  $\varphi \in \text{Hom}(V, W)$  by an  $m \times n$  matrix  $A \in M_{m,n}$ . This amounts to:

$$\begin{array}{ccc} V & \xrightarrow{\varphi} & W \\ \text{basis} \cong \uparrow & & \uparrow \cong \text{basis} \\ k^n & \xrightarrow{A} & k^m \end{array}$$

$$\text{Write } A = (a_{ij})_{\substack{1 \leq i \leq m \text{ rows} \\ 1 \leq j \leq n \text{ columns}}} = \begin{pmatrix} a_{11} & \dots & a_{1n} \\ a_{21} & & \\ \dots & & \\ a_{m1} & \dots & a_{mn} \end{pmatrix}$$

$$A: k^n \rightarrow k^m \text{ by multiplication w/ column vectors } \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} \mapsto A \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}$$

Notation:  $A = M(\varphi, (v), (w))$  the matrix of  $\varphi$  in given bases

\* The entries of  $A$  are characterized by:  $\varphi(v_j) = \sum_{i=1}^m a_{ij} w_i$ . (2)

I.e. the columns of  $A$  give the components of  $\varphi(v_1), \dots, \varphi(v_n)$  in the basis  $\{w_1, \dots, w_m\}$ .

Representing any element  $x \in V$  as  $x = \sum_{i=1}^n x_i v_i \leftrightarrow$  column vector  $X = \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}$   
and similarly for  $y = \varphi(x) \in W$ ,  $y = \sum y_i w_i \leftrightarrow Y = \begin{pmatrix} y_1 \\ \vdots \\ y_m \end{pmatrix} = AX$ .

\* As a memory aid, the isom.  $k^n \xrightarrow{\sim} V$  given by the basis can be written symbolically as multiplication of row & column vectors  $(v_1 \dots v_n) \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} = \sum x_i v_i$ .  
 $\triangle$  these aren't numbers!!

$$\varphi((v_1 \dots v_n) X) = (w_1 \dots w_m) AX. \quad (\text{compare } (*) \text{ above})$$

\* This construction gives an isomorphism between the vector spaces  $\text{Hom}(V, W)$  and  $M_{m,n}$ ! In particular  $\dim \text{Hom}(V, W) = \dim M_{m,n} = mn$ .  
linear maps  $\leftrightarrow$  matrices

\* Change of basis: What if we choose different basis for  $V$  and/or  $W$ ?

If we change basis from  $(v_1 \dots v_n)$  to  $(v'_1 \dots v'_n)$ , write  $v'_j = \sum_{i=1}^n p_{ij} v_i$   
and get an  $n \times n$  matrix  $P$  whose  $j^{\text{th}}$  column gives the components of  $v'_j$   
in the basis  $(v_1 \dots v_n)$ . Symbolically  $(v'_1 \dots v'_n) = (v_1 \dots v_n) P$ .

So:  $(v'_1 \dots v'_n) X' = (v_1 \dots v_n) P X'$  i.e. the element of  $V$  described by  
a column vector  $X'$  in new basis is described by  $X = P X'$  in old basis.

More conceptually:  $P = \mathcal{M}(\text{id}_V, (v'), (v))$ !

Do the same for  $W$ , but proceed in inverse direction, let  $Q = \mathcal{M}(\text{id}_W, (w), (w'))$   
i.e.  $(w_1 \dots w_m) = (w'_1 \dots w'_m) Q$ .

$$\begin{aligned} \text{Hence: } \varphi((v'_1 \dots v'_n) X') &= \varphi((v_1 \dots v_n) P X') = (w_1 \dots w_m) A P X' \\ &= (w'_1 \dots w'_m) Q A P X' \end{aligned}$$

$$\text{i.e. } \mathcal{M}(\varphi, (v'), (w')) = Q A P.$$

\* In particular, if  $V=W$  and change basis, for  $\varphi \in \text{Hom}(V, V)$ ,  
 $A = \mathcal{M}(\varphi, (v), (v))$  and  $A' = \mathcal{M}(\varphi, (v'), (v'))$  are related by  $A' = P^{-1} A P$ .

$\rightarrow$  But... the whole point of linear algebra is to avoid all this and  
work with linear maps in a coordinate-free language as much as possible.

• Direct sums and products of vector spaces

Given vector spaces  $V$  and  $W$ ,  $V \oplus W = V \times W = \{(v, w) \mid v \in V, w \in W\}$   
(with componentwise operations).

Similarly given  $n$  vector spaces,  $V_1 \oplus \dots \oplus V_n = V_1 \times \dots \times V_n = \{(v_1, \dots, v_n) \mid v_i \in V_i\}$

But for infinite collection  $(V_i)_{i \in I}$ , we have two different constructions:

$\bigoplus_{i \in I} V_i = \{(v_i)_{i \in I} \mid v_i \in V_i, \text{ only finitely many } v_i \neq 0\}$  vs.  $\prod_{i \in I} V_i = \{(v_i)_{i \in I} \mid v_i \in V_i\}$

Ex.  $\bigoplus_{n \in \mathbb{N}} k \cong k[x]$  vs.  $\prod_{n \in \mathbb{N}} k \cong k[[x]]$  formal power series.

referring to finite case...

• Sums and direct sums of subspaces:

Def: Given subspaces  $W_1, \dots, W_n \subset V$  of some vector space  $V$ ,

• the span of  $W_1, \dots, W_n$  is  $W_1 + \dots + W_n = \{w_1 + \dots + w_n \mid w_i \in W_i\} \subset V$ .

Say the  $W_i$  span  $V$  if  $W_1 + \dots + W_n = V$ .

• the  $W_i$  are independent if  $w_1 + \dots + w_n = 0, w_i \in W_i \Rightarrow w_i = 0 \forall i$ .

• if the  $W_i$  are independent and span  $V$ , say we have a direct sum decomposition  $V = W_1 \oplus \dots \oplus W_n$ .

\* Relation to the previous notion:  $\forall i$  we have an inclusion map  $W_i \hookrightarrow V$ .

These assemble into a linear map  $\varphi: \bigoplus W_i \longrightarrow V$   
 $(w_1, \dots, w_n) \longmapsto \sum w_i$ .

$W_1, \dots, W_n$  span  $V \iff \varphi$  surjective, independent  $\iff \varphi$  injective.

If both hold, then  $\varphi$  is an isomorphism  $\bigoplus W_i \xrightarrow{\sim} V$  and we have a direct sum decomposition..

In this case  $\dim(V) = \sum \dim(W_i)$

(get a basis of  $V$  by taking the union of bases of  $W_1, \dots, W_n$ ).

\* Case of two subspaces:

$w_1 + w_2 = 0$  iff  $w_1 = -w_2 \in W_1 \cap W_2$   
we'll see this soon

- $W_1, W_2$  are independent iff  $W_1 \cap W_2 = \{0\}$ .
- $\dim(W_1 + W_2) = \dim(W_1) + \dim(W_2) - \dim(W_1 \cap W_2)$ .
- $V = W_1 \oplus W_2$  iff  $W_1 \cap W_2 = 0$  and  $\dim W_1 + \dim W_2 = \dim V$ .

\* Also note: given a subspace  $W \subset V$ , there exists another subspace  $W'$  st.  $W \oplus W' = V$ . ( $W'$  is definitely not unique!). To find  $W'$ : take a basis  $\{w_1, \dots, w_r\}$  of  $W$ , complete it to a basis  $\{w_1, \dots, w_r, w'_1, \dots, w'_s\}$  of  $V$ , let  $W' = \text{span}(w'_1, \dots, w'_s)$ . (4)

\* Rank and the dimension formula:

Given finite-dim. vector spaces  $V$  and  $W$ , and a linear map  $\varphi: V \rightarrow W$ ,

- $\text{Ker}(\varphi) = \{v \in V / \varphi(v) = 0\} \subset V$
- $\text{Im}(\varphi) = \{w \in W / \exists v \in V \text{ st. } \varphi(v) = w\} \subset W$  are subspaces of  $V$  &  $W$ .
- $\dim(\text{Im} \varphi)$  is called the rank of  $\varphi$

Prop:  $\| \dim \text{Ker}(\varphi) + \dim \text{Im}(\varphi) = \dim V.$

Pf: start by choosing a basis  $\{u_1, \dots, u_m\}$  for  $\text{Ker} \varphi$ , and complete it to a basis  $\{u_1, \dots, u_m, v_1, \dots, v_r\}$  of  $V$ . We claim  $\{\varphi(v_1), \dots, \varphi(v_r)\}$  is a basis of  $\text{Im}(\varphi)$ . Indeed:

- if  $w = \varphi(v) \in \text{Im} \varphi$ , then write  $v = \sum a_i u_i + \sum b_j v_j$   
and get  $\varphi(v) = \sum b_j \varphi(v_j)$  so  $\{\varphi(v_j)\}$  span  $\text{Im}(\varphi)$
- if  $\sum c_j \varphi(v_j) = 0$  then  $\varphi(\sum c_j v_j) = 0$ , so  $\sum c_j v_j \in \text{Ker}(\varphi)$   
ie.  $\sum c_j v_j = \sum a_i u_i$  for some  $a_i \in K$ .

But since  $\{u_1, \dots, u_m, v_1, \dots, v_r\}$  are linearly indep't, this forces all  $c_j = 0$  (and  $a_i = 0$ ). Hence  $\varphi(v_j)$  are linearly indep't.

So now: since  $\underbrace{\{u_1, \dots, u_m\}}_{m = \dim \text{Ker} \varphi}$ ,  $\underbrace{\{v_1, \dots, v_r\}}_{r = \dim \text{Im}(\varphi) = \text{rank } \varphi}$  basis of  $V$ ,  $m+r = \dim V$ . □  
( $u_i$ ) basis of  $\text{Ker} \varphi$       ( $\varphi(v_j)$ ) are a basis of  $\text{Im} \varphi$

Corollary 1:  $\|$  Given a linear map  $\varphi: V \rightarrow W$ , there exist bases of  $V$  and  $W$  in which the matrix of  $\varphi$  has the form  $\begin{matrix} \text{basis of } \text{Ker } \varphi & \\ \text{rank } \varphi & \left( \begin{array}{c|c} \mathbb{I}_r & 0 \\ \hline 0 & 0 \end{array} \right) \end{matrix}$

Proof: take basis of  $V$  which is  $\{v_1, \dots, v_r, u_1, \dots, u_m\}$  as above, and complete  $\{\varphi(v_1), \dots, \varphi(v_r)\}$  (basis of  $\text{Im} \varphi$ ) to a basis of  $W$ . □

Corollary 2: || For  $W_1, W_2 \subset W$  subspaces,  $\dim(W_1 + W_2) = \dim(W_1) + \dim(W_2) - \dim(W_1 \cap W_2)$ . (5)

Proof: Consider the map from  $V = W_1 \oplus W_2$  to  $W$ ,  
 $\varphi(w_1, w_2) = w_1 + w_2$ .

Then  $\text{Im}(\varphi) = W_1 + W_2$ ,  $\text{ker}(\varphi) = \{(u, -u) \mid u \in W_1 \cap W_2\} \cong W_1 \cap W_2$

$$\begin{aligned} \text{so } \dim \text{ker } \varphi + \dim \text{Im } \varphi &= \dim(W_1 \cap W_2) + \dim(W_1 + W_2) \\ &= \dim(V) = \dim(W_1) + \dim(W_2). \quad \square \end{aligned}$$

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Next time: quotient space, dual space.